

## On a Class of Planar Graphs with Straight-Line Grid Drawings on Linear Area

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### Abstract

A straight-line grid drawing of a planar graph  $G$  is a drawing of  $G$  on an integer grid such that each vertex is drawn as a grid point and each edge is drawn as a straight-line segment without edge crossings. It is well known that a planar graph of  $n$  vertices admits a straight-line grid drawing on a grid of area  $O(n^2)$ . A lower bound of  $\Omega(n^2)$  on the area-requirement for straight-line grid drawings of certain planar graphs are also known. In this paper, we introduce a fairly large class of planar graphs which admits a straight-line grid drawing on a grid of area  $O(n)$ . We give a linear-time algorithm to find such a drawing. Our new class of planar graphs, which we call “doughnut graphs,” is a subclass of 5-connected planar graphs. We show several interesting properties of “doughnut graphs” in this paper. One can easily observe that any spanning subgraph of a “doughnut graph” also admits a straight-line grid drawing with linear area. But the recognition of a spanning subgraph of a “doughnut graph” seems to be a non-trivial problem, since the recognition of a spanning subgraph of a given graph is an NP-complete problem in general. We establish a necessary and sufficient condition for a 4-connected planar graph  $G$  to be a spanning subgraph of a “doughnut graph.” We also give a linear-time algorithm to augment a 4-connected planar graph  $G$  to a “doughnut graph” if  $G$  satisfies the necessary and sufficient condition.

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# 1 Introduction

Recently automatic aesthetic drawings of graphs have created intense interest due to their broad applications in computer networks, VLSI layout, information visualization etc., and as a consequence a number of drawing styles have come out [4, 11, 14, 16]. A classical and widely studied drawing style is the “straight-line drawing” of a planar graph. A *straight-line drawing* of a planar graph  $G$  is a drawing of  $G$  such that each vertex is drawn as a point and each edge is drawn as a straight-line segment without edge crossings. A *straight-line grid drawing* of a planar graph  $G$  is a straight-line drawing of  $G$  on an integer grid such that each vertex is drawn as a grid point as shown in Figure 1(b).

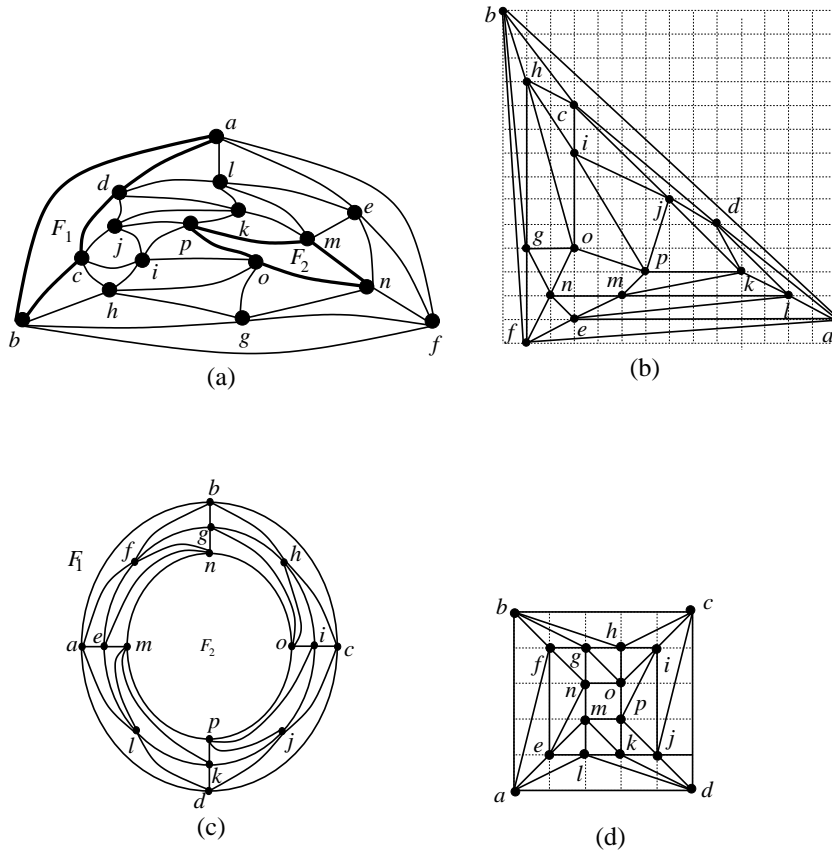


Figure 1: (a) A planar graph  $G$ , (b) a straight-line grid drawing of  $G$  with area  $O(n^2)$ , (c) a doughnut embedding of  $G$  and (d) a straight-line grid drawing of  $G$  with area  $O(n)$ .

Wagner [19], Fary [6] and Stein [18] independently proved that every planar graph  $G$  has a straight-line drawing. Their proofs immediately yield polynomial-

time algorithms to find a straight-line drawing of a given plane graph. However, the area of a rectangle enclosing a drawing on an integer grid obtained by these algorithms is not bounded by any polynomial of the number  $n$  of vertices in  $G$ . In fact, to obtain a drawing of area bounded by a polynomial remained as an open problem for long time. In 1990, de Fraysseix *et al.* [3] and Schnyder [17] showed by two different methods that every planar graph of  $n \geq 3$  vertices has a straight-line drawing on an integer grid of size  $(2n - 4) \times (n - 2)$  and  $(n - 2) \times (n - 2)$ , respectively. Figure 1(b) illustrates a straight-line grid drawing of the graph  $G$  in Figure 1(a) with area  $O(n^2)$ . A natural question arises: what is the minimum size of a grid required for a straight-line drawing? de Fraysseix *et al.* showed that, for each  $n \geq 3$ , there exists a plane graph of  $n$  vertices, for example nested triangles, which needs a grid size of at least  $\lfloor 2(n - 1)/3 \rfloor \times \lfloor 2(n - 1)/3 \rfloor$  for any grid drawing [2, 3]. Recently Frati and Patrignani showed that  $n^2/9 + \Omega(n)$  area is necessary for any planar straight-line drawing of a nested triangles graph [7]. (Note that a plane graph is a planar graph with a given embedding.) It has been conjectured that every plane graph of  $n$  vertices has a grid drawing on a  $\lceil 2n/3 \rceil \times \lceil 2n/3 \rceil$  grid, but it is still an open problem. For some restricted classes of graphs, more compact straight-line grid drawings are known. For example, a 4-connected plane graph  $G$  having at least four vertices on the outer face has a straight-line grid drawing with area  $(\lceil n/2 \rceil - 1) \times (\lfloor n/2 \rfloor)$  [15]. Garg and Rusu showed that an  $n$ -node binary tree has a planar straight-line grid drawing with area  $O(n)$  [9]. Although trees admit straight-line grid drawings with linear area, it is generally thought that triangulations may require a grid of quadratic size. Hence finding nontrivial classes of planar graphs of  $n$  vertices richer than trees that admit straight-line grid drawings with area  $o(n^2)$  is posted as an open problem in [1]. Garg and Rusu showed that an outerplanar graph with  $n$  vertices and maximum degree  $d$  has a planar straight-line drawing with area  $O(dn^{1.48})$  [10]. Recently Di Battista and Frati showed that a “balanced” outerplanar graph of  $n$  vertices has a straight-line grid drawing with area  $O(n)$  and a general outerplanar graph of  $n$  vertices has a straight-line grid drawing with area  $O(n^{1.48})$  [5].

In this paper, we introduce a new class of planar graphs which has a straight-line grid drawing on a grid of area  $O(n)$ . We give a linear-time algorithm to find such a drawing. Our new class of planar graphs is a subclass of 5-connected planar graphs, and we call the class “doughnut graphs” since a graph in this class has a doughnut-like embedding as illustrated in Figure 1(c). In an embedding of a “doughnut graph” of  $n$  vertices, there are two vertex-disjoint faces each having exactly  $n/4$  vertices and each of all the other faces has exactly three vertices. Figure 1(a) illustrates a “doughnut graph” of 16 vertices where each of the two faces  $F_1$  and  $F_2$  contains four vertices and each of all other faces contains exactly three vertices. Figure 1(c) illustrates a doughnut-like embedding of  $G$  where  $F_1$  is embedded as the outer face and  $F_2$  is embedded as an inner face. A straight-line grid drawing of  $G$  with area  $O(n)$  is illustrated in Figure 1(d). The outerplanarity of a “doughnut graph” is 3. Thus “doughnut graphs” introduce a subclass of 3-outerplanar graphs that admits straight-line grid drawing with linear area. One can easily observe that any spanning subgraph of a “dough-

nut graph” also admits a straight-line grid drawing with linear area. But the recognition of a spanning subgraph of a given graph is an NP-complete problem in general. We establish a necessary and sufficient condition for a 4-connected planar graph to be a spanning subgraph of a “doughnut graph.” We also provide a linear-time algorithm to augment a 4-connected graph  $G$  to a “doughnut graph” if  $G$  satisfies the necessary and sufficient condition. This gives us a new class of graphs which is a subclass of 4-connected planar graphs that admits straight-line grid drawings with linear area.

The remainder of the paper is organized as follows. In Section 2, we give some definitions. Section 3 provides some properties of the class of “doughnut graphs.” Section 4 deals with straight-line grid drawings of “doughnut graphs.” Section 5 provides the characterization for a 4-connected planar graph to be a spanning subgraph of a “doughnut graph.” Finally Section 6 concludes the paper. Early versions of this paper have been presented at [12] and [13].

## 2 Preliminaries

In this section we give some definitions.

Let  $G = (V, E)$  be a connected simple graph with vertex set  $V$  and edge set  $E$ . Throughout the paper, we denote by  $n$  the number of vertices in  $G$ , that is,  $n = |V|$ , and denote by  $m$  the number of edges in  $G$ , that is,  $m = |E|$ . An edge joining vertices  $u$  and  $v$  is denoted by  $(u, v)$ . The degree of a vertex  $v$ , denoted by  $d(v)$ , is the number of edges incident to  $v$  in  $G$ .  $G$  is called  $r$ -regular if every vertex of  $G$  has degree  $r$ . We call a vertex  $v$  a *neighbor* of a vertex  $u$  in  $G$  if  $G$  has an edge  $(u, v)$ . The *connectivity*  $\kappa(G)$  of a graph  $G$  is the minimum number of vertices whose removal results in a disconnected graph or a single-vertex graph  $K_1$ .  $G$  is called  $k$ -connected if  $\kappa(G) \geq k$ . We call a vertex of  $G$  a *cut-vertex* of  $G$  if its removal results in a disconnected or single-vertex graph. For  $W \subseteq V$ , we denote by  $G - W$  the graph obtained from  $G$  by deleting all vertices in  $W$  and all edges incident to them. A *cut-set* of  $G$  is a set  $S \subseteq V(G)$  such that  $G - S$  has more than one component or  $G - S$  is a single vertex graph. A *path* in  $G$  is an ordered list of distinct vertices  $v_1, v_2, \dots, v_q \in V$  such that  $(v_{i-1}, v_i) \in E$  for all  $2 \leq i \leq q$ . Vertices  $v_1$  and  $v_q$  are end-vertices of the path  $v_1, v_2, \dots, v_q$ . Two paths are *vertex-disjoint* if they do not share any common vertex except their end vertices. The *length* of a path is the number of edges on the path. We call a path  $P$  an *even path* if the number of edges on  $P$  is even. We call a path  $P$  an *odd path* if the number of edges on  $P$  is odd.

A graph is *planar* if it can be embedded in the plane so that no two edges intersect geometrically except at a vertex to which they are both incident. A *plane graph* is a planar graph with a fixed embedding. A plane graph  $G$  divides the plane into connected regions called *faces*. A bounded region is called an *inner face* and the unbounded region is called the *outer face*. For a face  $F$  in  $G$  we denote by  $V(F)$  the set of vertices of  $G$  on the boundary of face  $F$ . Two faces  $F_1$  and  $F_2$  are *vertex-disjoint* if  $V(F_1) \cap V(F_2) = \emptyset$ . Let  $F$  be a face in a plane graph  $G$  with  $n \geq 3$ . If the boundary of  $F$  has exactly three vertices

then we call  $F$  a *triangulated face*. One can divide a face  $F$  of  $p$  ( $p \geq 3$ ) vertices into  $p - 2$  triangulated faces by adding  $p - 3$  extra edges. The operation above is called *triangulating a face*. If every face of a graph is triangulated, then the graph is called a *triangulated plane graph*. We can obtain a triangulated plane graph  $G'$  from a non-triangulated plane graph  $G$  by triangulating all faces of  $G$ .

A *maximal planar graph* is one to which no edge can be added without losing planarity. Thus the boundary of every face of  $G$  is a triangle in any embedding of a maximal planar graph  $G$  with  $n \geq 3$ , and hence an embedding of a maximal planar graph is often called a triangulated plane graph. It can be derived from Euler's formula for planar graphs that if  $G$  is a maximal planar graph with  $n$  vertices and  $m$  edges then  $m = 3n - 6$ , for more details see [16]. We call a face a *quadrangle face* if the face has exactly four vertices.

For any 3-connected planar graph the following fact holds.

**Fact 1** *Let  $G$  be a 3-connected planar graph and let  $\Gamma$  and  $\Gamma'$  be any two planar embeddings of  $G$ . Then any facial cycle of  $\Gamma$  is a facial cycle of  $\Gamma'$  and vice versa.*

Let  $G$  be a 5-connected planar graph, let  $\Gamma$  be any planar embedding of  $G$  and let  $p$  be an integer such that  $p \geq 4$ . We call  $G$  a  *$p$ -doughnut graph* if the following conditions  $(d_1)$  and  $(d_2)$  hold:

- $(d_1)$   $\Gamma$  has two vertex-disjoint faces each of which has exactly  $p$  vertices, and all the other faces of  $\Gamma$  has exactly three vertices; and
- $(d_2)$   $G$  has the minimum number of vertices satisfying condition  $(d_1)$ .

In general, we call a  $p$ -doughnut graph for  $p \geq 4$  a *doughnut graph*. Since a doughnut graph is a 5-connected planar graph, Fact 1 implies that the decomposition of a doughnut graph into its facial cycles is unique. Throughout the paper we often mention faces of a doughnut graph  $G$  without mentioning its planar embedding where the description of the faces is valid for any planar embedding of  $G$ .

### 3 Properties of Doughnut Graphs

In this section we will show some properties of a  *$p$ -doughnut graph*. We have the following lemma on the number of vertices of a graph satisfying condition  $(d_1)$ .

**Lemma 2** *Let  $G$  be a 5-connected planar graph, let  $\Gamma$  be any planar embedding of  $G$ , and let  $p$  be an integer such that  $p \geq 4$ . Assume that  $\Gamma$  has two vertex-disjoint faces each of which has exactly  $p$  vertices, and all the other faces of  $\Gamma$  has exactly three vertices. Then  $G$  has at least  $4p$  vertices.*

**Proof:** Let  $F_1$  and  $F_2$  be the two faces of  $\Gamma$  each of which contains exactly  $p$  vertices. Let  $x$  be the number of vertices in  $G$  which are neither on  $F_1$  nor on  $F_2$ . Then  $G$  has  $x + 2p$  vertices.

We calculate the number of edges in  $G$  as follows. Faces  $F_1$  and  $F_2$  of  $\Gamma$  are not triangulated since  $p \geq 4$ . If we triangulate  $F_1$  and  $F_2$  of  $\Gamma$  then the resulting graph  $G'$  is a maximal planar graph. Using Euler's formula,  $G'$  has exactly  $3(x + 2p) - 6 = 3x + 6p - 6$  edges. To triangulate each of  $F_1$  and  $F_2$ , we need to add  $p - 3$  edges and hence the number of edges in  $G$  is exactly

$$(3x + 6p - 6) - 2(p - 3) = 3x + 4p. \quad (1)$$

Since  $G$  is 5-connected, using the degree-sum formula, we get  $2(3x + 4p) \geq 5(x + 2p)$ . This relation implies

$$x \geq 2p. \quad (2)$$

Therefore  $G$  has at least  $4p$  vertices.

*Q.E.D.*

Lemma 2 implies that a  $p$ -doughnut graph has  $4p$  or more vertices. We now show that  $4p$  vertices are sufficient to construct a  $p$ -doughnut graph as in the following lemma.

**Lemma 3** *For an integer  $p$ ,  $p \geq 4$ , one can construct a  $p$ -doughnut graph  $G$  with  $4p$  vertices.*

To prove Lemma 3 we first construct a planar embedding  $\Gamma$  of  $G$  with  $4p$  vertices by the construction **Construct-Doughnut** given below and then show that  $G$  is a  $p$ -doughnut graph.

**Construct-Doughnut.** Let  $C_1, C_2, C_3$  be three vertex-disjoint cycles such that  $C_1$  contains  $p$  vertices,  $C_2$  contains  $2p$  vertices and  $C_3$  contains  $p$  vertices. Let  $x_1, x_2, \dots, x_p$  be the vertices on  $C_1$ ,  $y_1, y_2, \dots, y_p$  be the vertices on  $C_3$ , and  $z_1, z_2, \dots, z_{2p}$  be the vertices on  $C_2$ . Let  $R_1, R_2$  and  $R_3$  be three concentric circles on a plane with radius  $r_1, r_2$  and  $r_3$ , respectively, such that  $r_1 > r_2 > r_3$ . We embed  $C_1, C_2$  and  $C_3$  on  $R_1, R_2$  and  $R_3$  respectively, as follows. We put the vertices  $x_1, x_2, \dots, x_p$  of  $C_1$  on  $R_1$  in clockwise order such that  $x_1$  is put on the leftmost position among the vertices  $x_1, x_2, \dots, x_p$ . Similarly, we put vertices  $z_1, z_2, \dots, z_{2p}$  of  $C_2$  on  $R_2$  and  $y_1, y_2, \dots, y_p$  of  $C_3$  on  $R_3$ . We add edges between the vertices on  $C_1$  and  $C_2$ , and between the vertices on  $C_2$  and  $C_3$  as follows. We have two cases to consider.

*Case 1:  $k$  is even in  $z_k$ .*

In this case, we add two edges  $(z_k, x_{k/2}), (z_k, x_i)$  between  $C_2$  and  $C_1$ , and one edge  $(z_k, y_i)$  between  $C_2$  and  $C_3$  where  $i = 1$  if  $k = 2p$ , and  $i = k/2 + 1$  otherwise.

*Case 2:  $k$  is odd in  $z_k$ .*

In this case, we add two edges  $(z_k, y_{\lceil k/2 \rceil}), (z_k, y_i)$  between  $C_2$  and  $C_3$ , and one edge  $(z_k, x_{\lceil k/2 \rceil})$  between  $C_1$  and  $C_2$  where  $i = 1$  if  $k = 2p - 1$ , and  $i = \lceil k/2 \rceil + 1$  otherwise.

We thus constructed a planar embedding  $\Gamma$  of  $G$ . Figure 2 illustrates the construction above for the case of  $p = 4$ .  $\square$

We have the following lemma on the construction **Construct-Doughnut**.

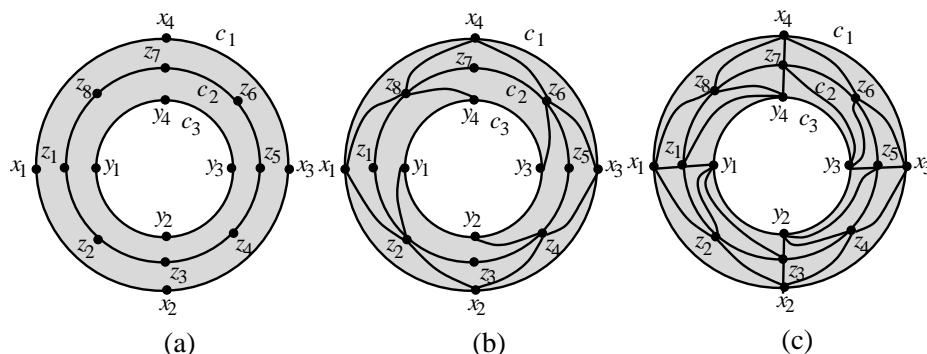


Figure 2: Illustration for the construction of a planar embedding  $\Gamma$  of a  $p$ -doughnut graph  $G$  for  $p = 4$ ; (a) embedding of the three cycles  $C_1$ ,  $C_2$  and  $C_3$  on three concentric circles, (b) addition of edges for the case where  $k$  is even in  $z_k$  and (c)  $\Gamma$ .

**Lemma 4** *Let  $\Gamma$  be the plane graph of  $4p$  vertices obtained by the construction **Construct-Doughnut**. Then  $\Gamma$  has exactly two vertex-disjoint faces  $F_1$  and  $F_2$  each of which has exactly  $p$  vertices, and the rest of the faces are triangulated.*

**Proof:** The construction of  $\Gamma$  implies that cycle  $C_1$  is the boundary of the outer face  $F_1$  of  $\Gamma$  and cycle  $C_3$  is the boundary of an inner face  $F_2$ . Each of  $F_1$  and  $F_2$  has exactly  $p$  vertices. Clearly the two faces  $F_1$  and  $F_2$  of  $\Gamma$  are vertex-disjoint. Thus it is remained to show that the rest of the faces of  $\Gamma$  are triangulated. The rest of the faces can be divided into two groups; (i) faces having vertices on both the cycles  $C_1$  and  $C_2$ , and (ii) faces having the vertices on both the cycles  $C_2$  and  $C_3$ .

We only prove that each face in group (i) is triangulated, since the proof for group (ii) is similar.

From our construction each vertex  $z_i$  with even  $i$  has exactly two neighbors on  $C_1$ , and the two neighbors of  $z_i$  on  $C_1$  are consecutive. Hence we get a triangulated face for each  $z_i$  with even  $i$  which contains  $z_i$  and the two neighbors of  $z_i$  on  $C_1$ .

We now show that the remaining faces in group (i) are triangulated. Clearly each of the remaining faces in group (i) must contain a vertex  $z_i$  with odd  $i$  since a vertex on a face in group (i) is either on  $C_1$  or on  $C_2$  and a vertex on  $C_2$  has at most two neighbors on  $C_1$ . Let  $z_i, z_{i+1}$  and  $z_{i+2}$  be three consecutive vertices on  $C_2$  with even  $i$ . Then  $z_i$  and  $z_{i+2}$  has a common neighbor  $x$  on  $C_1$ . One can observe from our construction that  $x$  is also the only neighbor of  $z_{i+1}$  on  $C_1$ . Then exactly two faces in group (i) contain  $z_{i+1}$  and the two faces are triangulated. This implies that for each  $z_i$  on  $C_2$  with odd  $i$  there are exactly two faces in group (i) which contain  $z_i$ , and the two faces are triangulated.

*Q.E.D.*

We are ready to prove Lemma 3.

**Proof of Lemma 3**

We construct a planar embedding  $\Gamma$  of a graph  $G$  with  $4p$  vertices by the construction **Construct-Doughnut** and show that  $G$  is a  $p$ -doughnut graph. To prove this claim we need to prove that  $G$  satisfies the following properties (a)–(c):

- (a) the graph  $G$  is a 5-connected planar graph;
- (b) any planar embedding  $\Gamma'$  of  $G$  has exactly two vertex-disjoint faces each of which has exactly  $p$  vertices, and all the other faces are triangulated; and
- (c)  $G$  has the minimum number of vertices satisfying (a) and (b).

(a)  $G$  is a planar graph since it has a planar embedding  $\Gamma$  as illustrated in Figure 2(c). To prove that  $G$  is 5-connected, we show that the size of any cut-set of  $G$  is 5 or more. We first show that  $G$  is 5-regular. From the construction, one can easily see that each of the vertex of  $C_2$  has exactly three neighbors in  $V(C_1) \cup V(C_3)$ . Hence the degree of each vertex of  $C_2$  is exactly 5. We only prove that the degree of each vertex of  $C_1$  is exactly 5 since the proof is similar for the vertices of  $C_3$ . Each even index vertex  $v$  of  $C_2$  has two neighbors on  $C_1$  and the two neighbors of  $v$  are consecutive on  $C_1$  by construction. Each vertex  $u$  of  $C_1$  has at most two even index neighbors on  $C_2$ , since  $C_2$  has  $p$  even index vertices,  $C_1$  has  $p$  vertices, and  $\Gamma$  is a planar embedding. Assume that a vertex  $u$  of  $C_1$  has two even index neighbors  $y_i$  and  $y_{i+2}$  on  $C_2$ . Since  $\Gamma$  is a planar embedding  $y_{i+1}$  can have only one neighbor on  $C_1$  which is  $u$ . Thus a vertex  $u$  on  $C_1$  has at most three neighbors on  $C_2$ . Since there are exactly  $3p$  edges each of which has one end point on  $C_1$  and the other on  $C_2$ , and a vertex on  $C_1$  has at most three neighbors on  $C_2$ , each vertex of  $C_1$  has exactly three neighbors on  $C_2$ . Hence the degree of a vertex on  $C_1$  is 5. Therefore  $G$  is 5-regular. We next show that the size of any cut-set of  $G$  is 5 or more. Assume for a contradiction that  $G$  has a cut-set of less than five vertices. In such a case,  $G$  would have a vertex of degree less than five, a contradiction. (Note that  $G$  is 5-regular, the vertices of  $G$  lie on three vertex disjoint cycles  $C_1$ ,  $C_2$  and  $C_3$ , none of the vertices of  $C_1$  has a neighbor on  $C_3$ , each of the faces of  $G$  is triangulated except faces  $F_1$  and  $F_2$ .)

(b) By Lemma 4,  $G$  has a planar embedding  $\Gamma$  such that  $\Gamma$  has exactly two vertex-disjoint faces  $F_1$  and  $F_2$  each of which has exactly  $p$  vertices, and the rest of the faces are triangulated. Since  $G$  is 5-connected, Fact 1 implies that any planar embedding  $\Gamma'$  of  $G$  has exactly two vertex-disjoint faces each of which has exactly  $p$  vertices, and all the other faces are triangulated.

(c) We have constructed the graph  $G$  with  $4p$  vertices and proofs for (a) and (b) imply that  $G$  satisfies properties (a) and (b).  $G$  is a 5-connected planar graph and hence satisfies condition (d<sub>1</sub>) of the definition of a  $p$ -doughnut graph. By Lemma 2,  $4p$  is the minimum number of vertices of such a graph. *Q.E.D.*



Condition  $(d_2)$  of the definition of a  $p$ -doughnut graph and Lemmas 2 and 3 imply that a  $p$ -doughnut graph  $G$  has exactly  $4p$  vertices. Then the value of  $x$  in Eq. (2) is  $2p$  in  $G$ . By Eq. (1),  $G$  has exactly  $3x + 4p = 10p$  edges. Since  $G$  is 5-connected, every vertex has degree 5 or more. Then the degree-sum formula implies that every vertex of  $G$  has degree exactly 5. Thus the following theorem holds.

**Theorem 1** *Let  $G$  be a  $p$ -doughnut graph. Then  $G$  is 5-regular and has exactly  $4p$  vertices.*

For a cycle  $C$  in a plane graph  $G$ , we denote by  $G(C)$  the plane subgraph of  $G$  inside  $C$  excluding  $C$ . Let  $C_1, C_2$  and  $C_3$  be three vertex-disjoint cycles in a planar graph  $G$  such that  $V(C_1) \cup V(C_2) \cup V(C_3) = V(G)$ . Then we call a planar embedding  $\Gamma$  of  $G$  a *doughnut embedding* of  $G$  if  $C_1$  is the outer face and  $C_3$  is an inner face of  $\Gamma$ ,  $G(C_1)$  contains  $C_2$  and  $G(C_2)$  contains  $C_3$ . We call  $C_1$  the *outer cycle*,  $C_2$  the *middle cycle* and  $C_3$  the *inner cycle* of  $\Gamma$ . We next show that a  $p$ -doughnut graph has a doughnut embedding. To prove the claim we need the following lemmas.

**Lemma 5** *Let  $G$  be a  $p$ -doughnut graph. Let  $F_1$  and  $F_2$  be the two faces of  $G$  each of which contains exactly  $p$  vertices. Then  $G - \{V(F_1) \cup V(F_2)\}$  is connected and contains a cycle.*

**Proof:** Since  $G$  is 5-connected,  $G' = G - \{V(F_1) \cup V(F_2)\}$  is connected; otherwise,  $G$  would have a cut-set of 4 vertices - two of them are on  $F_1$  and the other two are on  $F_2$ , a contradiction. Clearly  $G'$  has exactly  $2p$  vertices. Since  $G$  is 5-regular and has exactly  $4p$  vertices by Theorem 1, one can observe following the degree-sum formula that  $G'$  contains at least  $2p$  edges; if there is no edge between a vertex of  $F_1$  and a vertex of  $F_2$  in  $G$  then  $G'$  contains exactly  $2p$  edges, otherwise  $G'$  contains more than  $2p$  edges. Since  $G'$  is connected, has  $2p$  vertices and has at least  $2p$  edges,  $G'$  must have a cycle. Q.E.D.

**Lemma 6** *Let  $G$  be a  $p$ -doughnut graph. Let  $F_1$  and  $F_2$  be the two faces of  $G$  each of which contains exactly  $p$  vertices. Let  $\Gamma$  be a planar embedding of  $G$  such that  $F_1$  is embedded as the outer face. Let  $C$  be a cycle in  $G - \{V(F_1) \cup V(F_2)\}$ . Then  $G(C)$  in  $\Gamma$  contains  $F_2$ .*

**Proof:** Assume that  $G(C)$  does not contain  $F_2$  in  $\Gamma$ . Since  $F_1$  is embedded as the outer face of  $\Gamma$ ,  $F_2$  will be an inner face of  $\Gamma$  as illustrated in Figure 3. Then there would be edge crossings in  $\Gamma$  among the edges from the vertices on  $C$  to the vertices on  $F_1$  and  $F_2$  as illustrated in Figure 3, a contradiction to the assumption that  $\Gamma$  is a planar embedding of  $G$ . (Note that  $G$  is 5-connected, 5-regular and has  $10p$  edges.) Therefore  $G(C)$  contains  $F_2$ . Q.E.D.

**Lemma 7** *Let  $G$  be a  $p$ -doughnut graph. Let  $F_1$  and  $F_2$  be the two faces of  $G$  each of which contains exactly  $p$  vertices. Then the following (a) - (c) hold.*

- (a) *There is no edge between a vertex of  $F_1$  and a vertex of  $F_2$ .*

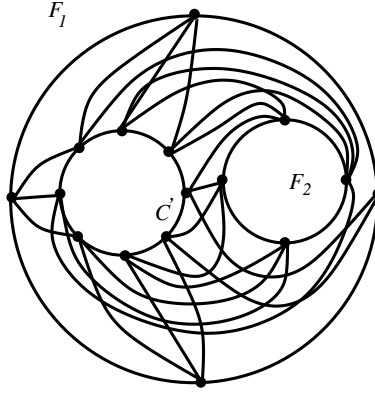


Figure 3: An embedding  $\Gamma'$  of  $G$  where  $F_1$  is embedded as the outer face and  $G(C')$  does not contain  $F_2$ .

- (b)  $G - \{V(F_1) \cup V(F_2)\}$  contains exactly  $2p$  edges and has exactly one cycle.
- (c) All the vertices of  $G - \{V(F_1) \cup V(F_2)\}$  are contained in a single cycle.

**Proof:** (a) Let  $\Gamma$  be a planar embedding of  $G$  such that  $F_1$  is embedded as the outer face. Let  $C$  be a cycle in  $G - \{V(F_1) \cup V(F_2)\}$ . Since  $G(C)$  contains  $F_2$  by Lemma 6, there is no edge between a vertex of  $F_1$  and a vertex of  $F_2$ ; otherwise, an edge between a vertex of  $F_1$  and a vertex of  $F_2$  would cross an edge on  $C$ , a contradiction to the assumption that  $\Gamma$  is a planar embedding of  $G$ .

(b) Since there is no edge between a vertex of  $F_1$  and a vertex of  $F_2$  by Lemma 7(a),  $G - \{V(F_1) \cup V(F_2)\}$  contains exactly  $2p$  edges as mentioned in the proof of Lemma 5. Since  $G - \{V(F_1) \cup V(F_2)\}$  is connected, contains exactly  $2p$  vertices and has exactly  $2p$  edges,  $G - \{V(F_1) \cup V(F_2)\}$  contains exactly one cycle.

(c) Let  $\Gamma$  be a planar embedding of  $G$  such that  $F_1$  is embedded as the outer face. Let  $C$  be a cycle in  $G - \{V(F_1) \cup V(F_2)\}$ . By Lemma 7(b),  $C$  is the only cycle in  $G - \{V(F_1) \cup V(F_2)\}$ . Assume that the cycle  $C$  does not contain all the vertices of  $G - \{V(F_1) \cup V(F_2)\}$ . Then there is at least a vertex in  $G - \{V(F_1) \cup V(F_2)\}$  whose degree is one in  $G - \{V(F_1) \cup V(F_2)\}$ . Let  $v$  be a vertex of degree one in  $G - \{V(F_1) \cup V(F_2)\}$ . We may assume that the vertex  $v$  is outside of the cycle  $C$  in  $\Gamma$  since the proof is similar if  $v$  is inside of the cycle  $C$ . Then the four neighbors of  $v$  must be on  $F_1$ , since  $G - \{V(F_1) \cup V(F_2)\}$  contains exactly one cycle by Lemma 7(b), the vertex  $v$  is outside of the cycle  $C$ , and  $G(C)$  contains  $F_2$  by Lemma 6. Then either  $G$  would not be 5-regular or  $\Gamma$  would not be a planar embedding of  $G$ , a contradiction. Hence all the vertices of  $G - \{V(F_1) \cup V(F_2)\}$  are contained in cycle  $C$ . *Q.E.D.*

We now prove the following theorem.

**Theorem 2** *A  $p$ -doughnut graph always has a doughnut embedding.*

**Proof:** Let  $F_1$  and  $F_2$  be two faces of  $G$  each of which contains exactly  $p$  vertices. Let  $\Gamma$  be a planar embedding of  $G$  such that  $F_1$  is embedded as the outer face. By Lemma 7(c), all the vertices of  $G - \{V(F_1) \cup V(F_2)\}$  are contained in a single cycle  $C$ . By Lemma 6,  $G(C)$  contains  $F_2$ . Then in  $\Gamma$ ,  $G(F_1)$  contains  $C$  and  $G(C)$  contains  $F_2$ , and hence  $\Gamma$  is a doughnut embedding.  $\text{Q.E.D.}$

A 1-*outerplanar* graph is an embedded planar graph where all vertices are on the outer face. It is also called 1-*outerplane* graph. An embedded graph is a  $k$ -*outerplane* ( $k > 1$ ) if the embedded graph obtained by removing all vertices of the outer face is a  $(k - 1)$ -outerplane graph. A graph is  $k$ -*outerplanar* if it admits a  $k$ -outerplanar embedding. A planar graph  $G$  has *outerplanarity*  $k$  ( $k > 0$ ) if it is  $k$ -outerplanar and it is not  $j$ -outerplanar for  $0 < j < k$ .

In the rest of this section, we show that the outerplanarity of a  $p$ -doughnut graph  $G$  is 3. Since none of the faces of  $G$  contains all vertices of  $G$ ,  $G$  does not admit 1-outerplanar embedding. We thus need to show that  $G$  does not admit a 2-outerplanar embedding. We have the following fact.

**Fact 8** *A graph  $G$  having outerplanarity 2 has a cut-set of four or less vertices.*

**Proof:** Deletion of all vertices on the outer face from a 2-outerplane graph leaves a 1-outerplane graph. Since all vertices of a 1-outerplane graph are on the outer face, a 1-outerplane graph has a cut-set of at most two vertices. Then one can observe that a graph  $G$  having outerplanarity 2 has a cut-set of four or less vertices.  $\text{Q.E.D.}$

Since  $G$  is 5-connected graph,  $G$  has no cut-set of four or less vertices. Hence by Fact 8 the graph  $G$  has outerplanarity greater than 2. Thus the following lemma holds.

**Lemma 9** *Let  $G$  be a  $p$ -doughnut graph for  $p \geq 4$ . Then  $G$  is neither a 1-outerplanar graph nor a 2-outerplanar graph.*

We now prove the following theorem.

**Theorem 3** *The outerplanarity of a  $p$ -doughnut graph  $G$  is 3.*

**Proof:** A doughnut embedding of  $G$  immediately implies that  $G$  has a 3-outerplanar embedding. By Lemma 9,  $G$  is neither a 1-outerplanar graph nor a 2-outerplanar graph. Therefore the outerplanarity of a  $p$ -doughnut graph is 3.  $\text{Q.E.D.}$

## 4 Drawings of Doughnut Graphs

In this section we give a linear-time algorithm for finding a straight-line grid drawing of a doughnut graph on a grid of linear area.

Let  $G$  be a  $p$ -doughnut graph. Then  $G$  has a doughnut embedding by Theorem 2. Let  $\Gamma$  be a doughnut embedding of  $G$  as illustrated in Figure 4(a). Let  $C_1$ ,  $C_2$  and  $C_3$  be the outer cycle, the middle cycle and the inner cycle of  $\Gamma$ , respectively. We have the following facts.

**Fact 10** *Let  $G$  be a  $p$ -doughnut graph and let  $\Gamma$  be a doughnut embedding of  $G$ . Let  $C_1$ ,  $C_2$  and  $C_3$  be the outer cycle, the middle cycle and the inner cycle of  $\Gamma$ , respectively. For any two consecutive vertices  $z_i, z_{i+1}$  on  $C_2$ , one of  $z_i, z_{i+1}$  has exactly one neighbor on  $C_1$  and the other has exactly two neighbors on  $C_1$ .*

**Fact 11** *Let  $G$  be a  $p$ -doughnut graph and let  $\Gamma$  be a doughnut embedding of  $G$ . Let  $C_1, C_2$  and  $C_3$  be the outer cycle, the middle cycle and the inner cycle of  $\Gamma$ , respectively. Let  $z_i$  be a vertex of  $C_2$ , then either the following (a) or (b) holds.*

- (a)  $z_i$  has exactly one neighbor on  $C_1$  and exactly two neighbors on  $C_3$ .
- (b)  $z_i$  has exactly one neighbor on  $C_3$  and exactly two neighbors on  $C_1$ .

Before describing our algorithm we need some definitions. Let  $z_i$  be a vertex of  $C_2$  such that  $z_i$  has two neighbors on  $C_1$ . Let  $x$  and  $x'$  be the two neighbors of  $z_i$  on  $C_1$  such that  $x'$  is the counter clockwise next vertex to  $x$  on  $C_1$ . We call  $x$  the *left neighbor* of  $z_i$  on  $C_1$  and  $x'$  the *right neighbor* of  $z_i$  on  $C_1$ . Similarly we define the left neighbor and the right neighbor of  $z_i$  on  $C_3$  if a vertex  $z_i$  on  $C_2$  has two neighbors on  $C_3$ . We are now ready to describe our algorithm.

We embed  $C_1, C_2$  and  $C_3$  on three nested rectangles  $R_1, R_2$  and  $R_3$ , respectively on a grid as illustrated in Figure 4(b). We draw rectangle  $R_1$  on grid with four corners on grid point  $(0, 0), (p + 1, 0), (p + 1, 5)$  and  $(0, 5)$ . Similarly the four corners of  $R_2$  are  $(1, 1), (p, 1), (p, 4), (1, 4)$  and the four corners of  $R_3$  are  $(2, 2), (p - 1, 2), (p - 1, 3), (2, 3)$ .

We first embed  $C_2$  on  $R_2$  as follows. Let  $z_1, z_2, \dots, z_{2p}$  be the vertices on  $C_2$  in counter clockwise order such that  $z_1$  has exactly one neighbor on  $C_1$ . We put  $z_1$  on  $(1, 1), z_p$  on  $(p, 1), z_{p+1}$  on  $(p, 4)$  and  $z_{2p}$  on  $(1, 4)$ . We put the other vertices of  $C_2$  on grid points of  $R_2$  preserving the relative positions of vertices of  $C_2$ .

We next put vertices of  $C_1$  on  $R_1$  as follows. Let  $x_1$  be the neighbor of  $z_1$  on  $C_1$  and let  $x_1, x_2, \dots, x_p$  be the vertices of  $C_1$  in counter clockwise order. We put  $x_1$  on  $(0, 0)$  and  $x_p$  on  $(0, 5)$ . Since  $z_1$  has exactly one neighbor on  $C_1$ , by Fact 10,  $z_{2p}$  has exactly two neighbors on  $C_1$ . Since  $z_1$  and  $z_{2p}$  are on a triangulated face of  $G$  having vertices on both  $C_1$  and  $C_2$ ,  $x_1$  is a neighbor of  $z_{2p}$ . One can easily observe that  $x_p$  is the other neighbor of  $z_{2p}$  on  $C_1$ . Clearly the edges  $(x_1, z_1), (x_1, z_{2p}), (x_p, z_{2p})$  can be drawn as straight-line segments without edge crossings as illustrated in Figure 4(b). We next put neighbors of  $z_p$  and  $z_{p+1}$ . Let  $x_i$  be the neighbor of  $z_p$  on  $C_1$  if  $z_p$  has exactly one neighbor on  $C_1$ , otherwise let  $x_i$  be the left neighbor of  $z_p$  on  $C_1$ . We put  $x_i$  on  $(p + 1, 0)$  and  $x_{i+1}$  on  $(p + 1, 5)$ . In case of  $z_p$  has exactly one neighbor on  $C_1$ , by Fact 10,  $z_{p+1}$  has two neighbors on  $C_1$ , and  $x_i$  and  $x_{i+1}$  are the two neighbors of  $z_{p+1}$  on  $C_1$ . Clearly the edges  $(z_p, x_i), (z_{p+1}, x_i)$  and  $(z_{p+1}, x_{i+1})$  can be drawn as straight-line segments without edge crossings, as illustrated in Figure 4(b). In case of  $z_p$  has exactly two neighbors  $x_i$  and  $x_{i+1}$  on  $C_1$ , the edges between neighbors of  $z_p$  and  $z_{p+1}$  on  $C_1$  can be drawn without edge crossings as illustrated in Figure 5. We put the other vertices of  $C_1$  on grid points of  $R_1$  arbitrarily preserving their relative positions on  $C_1$ .

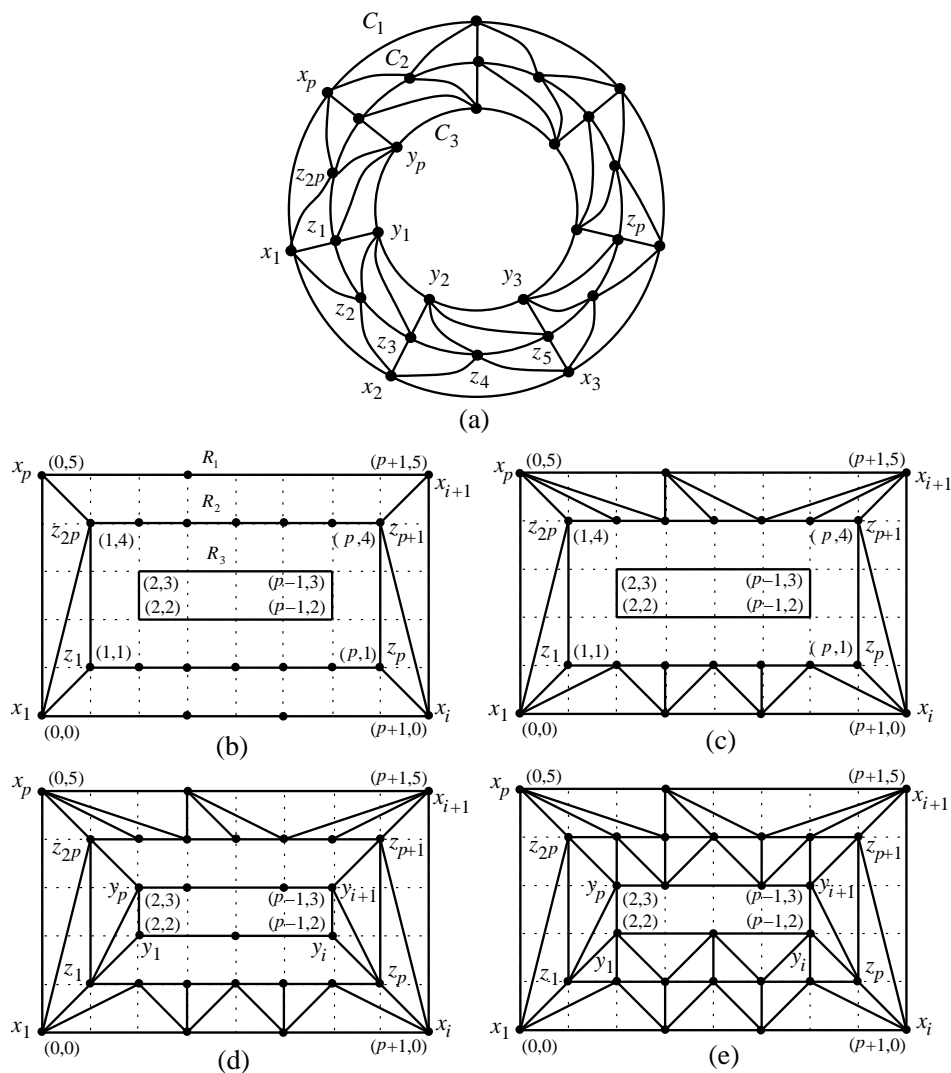


Figure 4: (a) A doughnut embedding of a  $p$ -doughnut graph of  $G$ , (b) edges between four corner vertices of  $R_1$  and  $R_2$  are drawn as straight-line segments, (c) edges between vertices on  $R_1$  and  $R_2$  are drawn, (d) edges between four corner vertices of  $R_2$  and  $R_3$  are drawn as straight-line segments, and (e) a straight-line grid drawing of  $G$ .

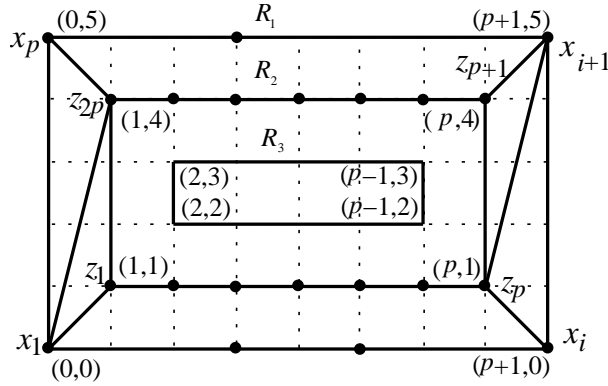


Figure 5: Illustration for the case where  $z_p$  has two neighbors on  $C_1$ .

One can observe that all the edges of  $G$  connecting vertices in  $\{z_2, z_3, \dots, z_{p-1}\}$  to vertices in  $\{x_2, x_3, \dots, x_{i-1}\}$ , and connecting vertices in  $\{z_{p+2}, z_{p+2}, \dots, z_{2p-1}\}$  to vertices in  $\{x_{i+2}, x_{i+3}, \dots, x_{p-1}\}$  can be drawn as straight-line segments without edge crossings. See Figure 4(c).

We finally put the vertices of  $C_3$  on  $R_3$  as follows. Since  $z_1$  has exactly one neighbor on  $C_1$ , by Fact 11(a),  $z_1$  has exactly two neighbors on  $C_3$ . Then, by Fact 11(b),  $z_{2p}$  has exactly one neighbor on  $C_3$ . Let  $y_1, y_2, \dots, y_p$  be the vertices on  $C_3$  in counter clockwise order such that  $y_1$  is the right neighbor of  $z_1$ . Then  $y_p$  is the left neighbor of  $z_1$ . We put  $y_1$  on  $(2, 2)$  and  $y_p$  on  $(2, 3)$ . Clearly the edges  $(y_1, z_1), (y_p, z_{2p}), (y_p, z_1)$  can be drawn as straight-line segments without edge crossings, as illustrated in Figure 4(d). We next put neighbors of  $z_p$  and  $z_{p+1}$  on  $C_3$  as we have put the neighbors of  $z_p$  and  $z_{p+1}$  on  $C_1$  at the other two corners of  $R_3$  in a counter clockwise order as illustrated in Figure 4(d). We put the other vertices of  $C_3$  on grid points of  $R_3$  arbitrarily preserving their relative positions on  $C_3$ . It is not difficult to show that edges from the vertices on  $C_2$  to the vertices on  $C_3$  can be drawn as straight-line segments without edge crossings. Figure 4(e) illustrates the complete straight-line grid drawing of a  $p$ -doughnut graph.

The area requirement of the straight-line grid drawing of a  $p$ -doughnut graph  $G$  is equal to the area of rectangle  $R_1$  and the area of  $R_1$  is  $= (p + 1) \times 5 = (n/4 + 1) \times 5 = O(n)$ , where  $n$  is the number of vertices in  $G$ . Thus we have a straight-line grid drawing of a  $p$ -doughnut graph on a grid of linear area. Clearly the algorithm takes linear time. Thus the following theorem holds.

**Theorem 4** *A doughnut graph  $G$  of  $n$  vertices has a straight-line grid drawing on a grid of area  $O(n)$ . Furthermore, the drawing of  $G$  can be found in linear time.*

## 5 Spanning Subgraphs of Doughnut Graphs

In Section 4, we have seen that a doughnut graph admits a straight-line grid drawing with linear area. One can easily observe that a spanning subgraph of a doughnut graph also admits a straight-line grid drawing with linear area. Figure 6(b) illustrates a straight-line grid drawing with linear area of a graph  $G'$  in Figure 6(a) where  $G'$  is a spanning subgraph of a doughnut graph  $G$  in Figure 1(a). Using a transformation from the “subgraph isomorphism” problem [8], one can easily prove that the recognition of a spanning subgraph of a given graph is an NP-complete problem in general. Hence the recognition of a spanning subgraph of a doughnut graph seems to be a non-trivial problem. We thus restrict ourselves only to 4-connected planar graphs. In this section, we give a necessary and sufficient condition for a 4-connected planar graph to be a spanning subgraph of a doughnut graph as in the following theorem.

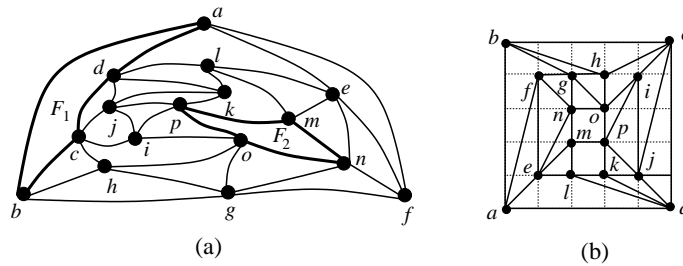


Figure 6: (a) A spanning subgraph  $G'$  of  $G$  in Figure 1(a), and (b) a straight-line grid drawing of  $G'$  with area  $O(n)$ .

**Theorem 5** *Let  $G$  be a 4-connected planar graph with  $4p$  vertices where  $p > 4$  and let  $\Delta(G) \leq 5$ . Let  $\Gamma$  be a planar embedding of  $G$ . Assume that  $\Gamma$  has exactly two vertex disjoint faces  $F_1$  and  $F_2$  each of which has exactly  $p$  vertices. Then  $G$  is a spanning subgraph of a  $p$ -doughnut graph if and only if the following conditions (a) – (e) hold.*

- (a)  $G$  has no edge  $(x, y)$  such that  $x \in V(F_1)$  and  $y \in V(F_2)$ .
- (b) Every face  $f$  of  $\Gamma$  has at least one vertex  $v \in \{V(F_1) \cup V(F_2)\}$ .
- (c) For any vertex  $x \notin \{V(F_1) \cup V(F_2)\}$ , the total number of neighbors of  $x$  on faces  $F_1$  and  $F_2$  are at most three.
- (d) Every face  $f$  of  $\Gamma$  except the faces  $F_1$  and  $F_2$  has either three or four vertices.
- (e) For any  $x$ - $y$  path  $P$  such that  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$  and  $x$  has exactly two neighbors on face  $F_1(F_2)$ . Then the following conditions hold.
  - (i) If  $P$  is even, then the vertex  $y$  has at most two neighbors on face  $F_1(F_2)$  and at most one neighbor on face  $F_2(F_1)$ .

(ii) If  $P$  is odd, then the vertex  $y$  has at most one neighbor on face  $F_1(F_2)$  and at most two neighbors on face  $F_2(F_1)$ .

Fact 1 implies that the decomposition of a 4-connected planar graph  $G$  into its facial cycles is unique. Throughout the section we thus often mention faces of  $G$  without mentioning its planar embedding where the description of the faces is valid for any planar embedding of  $G$ , since  $\kappa(G) \geq 4$  for every graph  $G$  considered in this section.

Before proving the necessity of Theorem 5, we have the following fact.

**Fact 12** *Let  $G$  be a 4-connected planar graph with  $4p$  vertices where  $p > 4$  and let  $\Delta(G) \leq 5$ . Assume that  $G$  has exactly two vertex disjoint faces  $F_1$  and  $F_2$  each of which has exactly  $p$  vertices. If  $G$  is a spanning subgraph of a doughnut graph then  $G$  can be augmented to a 5-connected 5-regular graph  $G'$  through triangulation of all the non-triangulated faces of  $G$  except the faces  $F_1$  and  $F_2$ .*

One can easily observe that the following fact holds from the construction **Construct-Doughnut** given in Section 3.

**Fact 13** *Let  $G$  be a doughnut graph, and let  $P$  be any  $x$ - $y$  path such that  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$  and  $x$  has exactly two neighbors on face  $F_1(F_2)$ . Then the following conditions (i) and (ii) hold.*

(i) *If  $P$  is even, then the vertex  $y$  has exactly two neighbors on face  $F_1(F_2)$  and exactly one neighbor on face  $F_2(F_1)$ .*

(ii) *If  $P$  is odd, then the vertex  $y$  has exactly one neighbor on face  $F_1(F_2)$  and exactly two neighbors on face  $F_2(F_1)$ .*

We are ready to prove the necessity of Theorem 5.

**Proof for the Necessity of Theorem 5**

Assume that  $G$  is a spanning subgraph of a  $p$ -doughnut graph. Then by Theorem 1  $G$  has  $4p$  vertices. Clearly  $\Delta(G) \leq 5$  and  $G$  satisfies the conditions (a), (b) and (c), otherwise  $G$  would not be a spanning subgraph of a doughnut graph. The necessity of condition (e) is obvious by Fact 13. Hence it is sufficient to prove the necessity of condition (d) only.

(d)  $G$  does not have any face of two or less vertices since  $G$  is a 4-connected planar graph. Then every face of  $G$  has three or more vertices. We now show that  $G$  has no face of more than four vertices. Assume for a contradiction that  $G$  has a face  $f$  of  $q$  vertices such that  $q > 4$ . Then  $f$  can be triangulated by adding  $q - 3$  extra edges. These extra edges increase the degrees of  $q - 2$  vertices, and the sum of the degrees will be increased by  $2(q - 3)$ . Using the pigeonhole principle, one can easily observe that there is a vertex among the  $q(> 4)$  vertices whose degree will be raised by at least 2 after a triangulation of  $f$ . Then  $G'$  would have a vertex of degree six or more where  $G'$  is a graph obtained after triangulation of  $f$ . Hence we cannot augment  $G$  to a 5-regular graph through triangulation of all the non-triangulated faces of  $G$  other than the faces  $F_1$  and  $F_2$ . Therefore  $G$  cannot be a spanning subgraph of a doughnut



graph by Fact 12, a contradiction. Hence each face  $f$  of  $G$  except  $F_1$  and  $F_2$  has either three or four vertices. Q.E.D.

In the remaining of this section we give a constructive proof for the sufficiency of Theorem 5. Assume that  $G$  satisfies the conditions in Theorem 5. We have the following lemma.

**Lemma 14** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Assume that all the faces of  $G$  except  $F_1$  and  $F_2$  are triangulated. Then  $G$  is a doughnut graph.*

**Proof:** To prove the claim, we have to prove that (i)  $G$  is 5-connected, (ii)  $G$  has two vertex disjoint faces each of which has exactly  $p$ ,  $p > 4$ , vertices, and all the other faces of  $G$  has exactly three vertices, and (iii)  $G$  has the minimum number of vertices satisfying the properties (i) and (ii).

(i) We first prove that  $G$  is a 5-regular graph. Every face of  $G$  except  $F_1$  and  $F_2$  is a triangle. Furthermore each of  $F_1$  and  $F_2$  has exactly  $p$ ,  $p > 4$ , vertices. Then  $G$  has  $3(4p) - 6 - 2(p - 3) = 10p$  edges. Since none of the vertices of  $G$  has degree more than five and  $G$  has exactly  $10p$  edges, each vertex of  $G$  has degree exactly five. We next prove that the vertices of  $G$  lie on three vertex-disjoint cycles  $C_1$ ,  $C_2$  and  $C_3$  such that cycles  $C_1$ ,  $C_2$ ,  $C_3$  contain exactly  $p$ ,  $2p$  and  $p$  vertices, respectively. We take an embedding  $\Gamma$  of  $G$  such that  $F_1$  is embedded as the outer face and  $F_2$  is embedded as an inner face. We take the contour of face  $F_1$  as cycle  $C_1$  and contour of face  $F_2$  as cycle  $C_3$ . Then each of  $C_1$  and  $C_2$  contains exactly  $p$ ,  $p > 4$ , vertices. Since  $G$  satisfies conditions (a), (b) and (c) in Theorem 5 and all the faces of  $G$  except  $F_1$  and  $F_2$  are triangulated, the rest  $2p$  vertices of  $G$  form a cycle in  $\Gamma$ . We take this cycle as  $C_2$ .  $G(C_2)$  contains  $C_3$  since  $G$  satisfies condition (b) in Theorem 5. Clearly  $C_1$ ,  $C_2$  and  $C_3$  are vertex-disjoint and cycles  $C_1$ ,  $C_2$ ,  $C_3$  contain exactly  $p$ ,  $2p$  and  $p$  vertices, respectively. We finally prove that  $G$  is 5-connected. Assume for a contradiction that  $G$  has a cut-set of less than five vertices. In such a case  $G$  would have a vertex of degree less than five, a contradiction.

(ii) The proof of this part is obvious since  $G$  has two vertex disjoint faces each of which has exactly  $p$  vertices and all the other faces of  $G$  has exactly three vertices.

(iii) The number of vertices of  $G$  is  $4p$ . Using Lemma 2, we can easily prove that the minimum number of vertices required to construct a graph  $G$  that satisfies the properties (i) and (ii) is  $4p$ .

Q.E.D.

We thus assume that  $G$  has a non-triangulated face  $f$  except faces  $F_1$  and  $F_2$ . By condition (d) in Theorem 5,  $f$  is a quadrangle face. It is sufficient to show that we can augment the graph  $G$  to a doughnut graph by triangulating each of the quadrangle faces of  $G$ . However, we cannot augment  $G$  to a doughnut graph by triangulating each quadrangle face arbitrarily. For example, the graph  $G$  in Figure 7(a) satisfies all the conditions in Theorem 5 and it has exactly one quadrangle face  $f_1(a, b, c, d)$ . If we triangulate  $f_1$  by adding an

edge  $(a, c)$  as illustrated in Figure 7(b), the resulting graph  $G'$  would not be a doughnut graph since a doughnut graph does not have an edge  $(a, c)$  such that  $a \in V(F_1)$  and  $c \in V(F_2)$ . But if we triangulate  $f_1$  by adding an edge  $(b, d)$  as illustrated in Figure 7(c), the resulting graph  $G'$  is a doughnut graph. Hence every triangulation of a quadrangle face is not always valid to augment  $G$  to a doughnut graph. We call a triangulation of a quadrangle face  $f$  of  $G$  a *valid triangulation* if the resulting graph  $G'$  obtained after the triangulation of  $f$  does not contradict any condition in Theorem 5. We call a vertex  $v$  on the contour of a quadrangle face  $f$  a *good vertex* if  $v$  is one of the end vertex of an edge which is added for a valid triangulation of  $f$ .

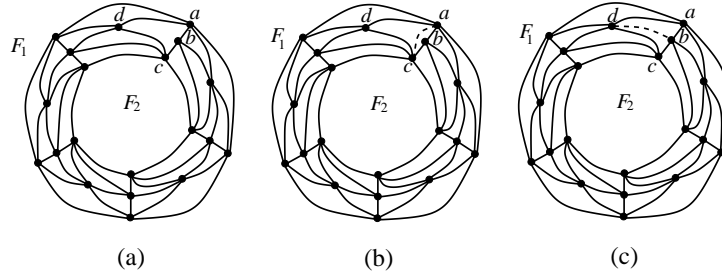


Figure 7: (a)  $f_1(a, b, c, d)$  is a quadrangle face, (b) the triangulation of  $f_1$  by adding the edge  $(a, c)$  and (c) the triangulation of  $f_1$  by adding the edge  $(b, d)$ .

We call a quadrangle face  $f$  of  $G$  an  $\alpha$ -face if  $f$  contains at least one vertex from each of the faces  $F_1$  and  $F_2$ . Otherwise, we call a quadrangle face  $f$  of  $G$  a  $\beta$ -face. In Figure 8,  $f_1(a, b, c, d)$  is an  $\alpha$ -face whereas  $f_2(p, q, r, s)$  is a  $\beta$ -face.

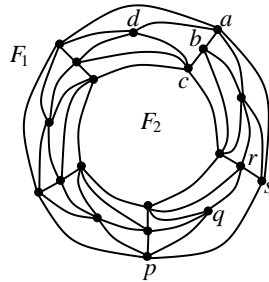


Figure 8:  $f_1(a, b, c, d)$  is an  $\alpha$ -face and  $f_2(p, q, r, s)$  is a  $\beta$ -face.

In a valid triangulation of an  $\alpha$ -face  $f$  of  $G$  no edge is added between any two vertices  $x, y \in V(f)$  such that  $x \in V(F_1)$  and  $y \in V(F_2)$ . Hence the following fact holds on an  $\alpha$ -face  $f$ .

**Fact 15** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Let  $f$  be an  $\alpha$ -face in  $G$ . Then  $f$  admits a unique valid triangulation and the triangulation is obtained by adding an edge between two vertices those are not on  $F_1$  and  $F_2$ .*

Faces  $f_1(a, b, c, d)$  and  $f_2(p, q, r, s)$  in Figure 9(a) are two  $\alpha$ -faces and Figure 9(b) illustrates the valid triangulations of  $f_1$  and  $f_2$ . Vertices  $b$  and  $d$  of  $f_1$  and vertices  $q$  and  $s$  of  $f_2$  are good vertices.

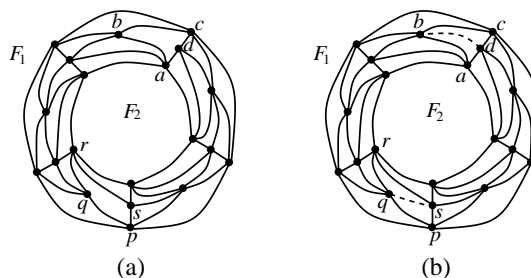


Figure 9: (a)  $f_1(a, b, c, d)$  and  $f_2(p, q, r, s)$  are two  $\alpha$ -faces, and (b) valid triangulations of  $f_1$  and  $f_2$ .

We call a  $\beta$ -face a  $\beta_1$ -face if the face contains exactly one vertex either from  $F_1$  or from  $F_2$ . Otherwise we call a  $\beta$ -face a  $\beta_2$ -face. In Figure 10,  $f_1(a, b, c, d)$  is a  $\beta_1$ -face whereas  $f_2(p, q, r, s)$  is a  $\beta_2$ -face. We call a vertex  $v$  on the contour of a  $\beta_1$ -face  $f$  a *middle vertex* of  $f$  if the vertex is in the middle position among the three consecutive vertices other than the vertex on  $F_1$  or  $F_2$ . In Figure 10, vertex  $c$  of  $f_1$  and vertex  $r$  of  $f_2$  are the middle vertices of  $f_1$  and  $f_2$ , respectively.

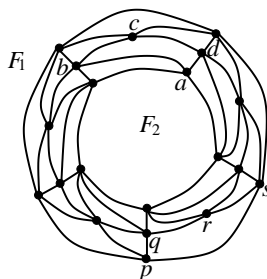


Figure 10:  $f_1(a, b, c, d)$  is a  $\beta_1$ -face and  $f_2(p, q, r, s)$  is a  $\beta_2$ -face.

In a valid triangulation of a  $\beta_1$ -face  $f$  of  $G$  no edge is added between any two vertices  $x, y \in V(f)$  such that  $x, y \notin V(F_1) \cup V(F_2)$ . Hence the following fact holds on a  $\beta_1$ -face  $f$ .

**Fact 16** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Let  $f$  be a  $\beta_1$ -face of  $G$ . Then  $f$  admits a unique valid triangulation and the triangulation is obtained by adding an edge between the vertex on  $F_1$  or  $F_2$  and the middle vertex.*

Faces  $f_1(a, b, c, d)$  and  $f_2(p, q, r, s)$  in Figure 11(a) are two  $\beta_1$ -faces and Figure 11(b) illustrates the valid triangulations of  $f_1$  and  $f_2$ . Vertices  $a$  and  $c$  of  $f_1$  and vertices  $p$  and  $r$  of  $f_2$  are good vertices.

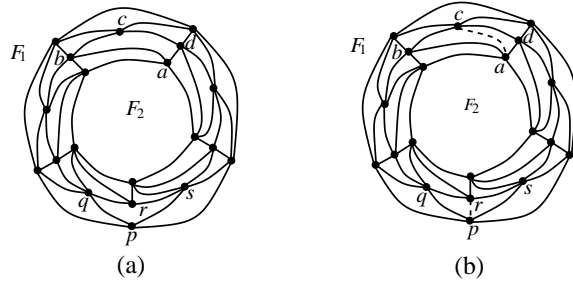


Figure 11: (a)  $f_1(a, b, c, d)$  and  $f_2(p, q, r, s)$  are two  $\beta_1$ -faces, and (b) valid triangulations of  $f_1$  and  $f_2$ .

In a valid triangulation of a  $\beta_2$ -face  $f$  of  $G$  no edge is added between any two vertices  $x, y \in V(f)$  where  $x \in V(F_1) \setminus V(F_2)$ ,  $y \notin \{V(F_1) \cup V(F_2)\}$  and  $G$  has either (i) an even  $q$ - $y$  path  $P$  such that  $q$  has exactly two neighbors on  $F_2(F_1)$  and  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$ , or (ii) an odd  $q$ - $y$  path  $P$  such that  $q$  has exactly two neighbors on  $F_1(F_2)$  and  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$ . Hence the following fact holds on a  $\beta_2$ -face  $f$ .

**Fact 17** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Let  $f$  be a  $\beta_2$ -face of  $G$ . Then  $f$  admits a unique valid triangulation and the triangulation is obtained by adding an edge between a vertex on face  $F_1$  or  $F_2$  and a vertex  $z \notin V(F_1) \cup V(F_2)$ .*

Face  $f_1(a, b, c, d)$  in the graph in Figure 12(a) is a  $\beta_2$ -face and the graph has an even  $u$ - $d$  path  $P$  such that  $u$  has exactly two neighbors  $g$  and  $h$  on  $F_2$ , and  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$ . Figure 12(c) illustrates the valid triangulation of  $f_1$ . Vertices  $a$  and  $c$  are the good vertices of  $f_1$ . Face  $f_2(l, m, n, o)$  in the graph in Figure 12(b) is a  $\beta_2$ -face and the graph has an odd  $v$ - $o$  path  $P$  such that  $v$  has exactly two neighbors  $s$  and  $t$  on  $F_1$ , and  $V(P) \cap \{V(F_1) \cup V(F_2)\} = \emptyset$ . Figure 12(d) illustrates the valid triangulation of  $f_2$ . Vertices  $l$  and  $n$  are the good vertices of  $f_2$ .

Before giving a proof for the sufficiency of Theorem 5 we need to prove the following Lemmas 18 and 19.

**Lemma 18** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Then any quadrangle face  $f$  of  $G$  admits a unique valid triangulation such that after triangulation  $d(v) \leq 5$  holds for any vertex  $v$  in the resulting graph.*

**Proof:** By Facts 15, 16 and 17,  $f$  admits a unique valid triangulation. Since a valid triangulation increases the degree of a good vertex by one, it is sufficient to show that each good vertex of  $f$  has degree less than five in  $G$ . Assume for a contradiction that a good vertex  $v$  has degree more than four in  $G$ . Then one can observe that  $G$  would violate a condition in Theorem 5. *Q.E.D.*

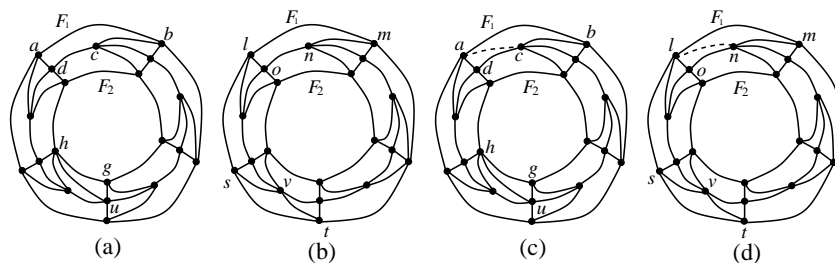


Figure 12: Illustration for valid triangulation of  $\beta_2$ -face; (a) a  $\beta_2$  face  $f_1(a, b, c, d)$  in a graph satisfying condition (i), (b) a  $\beta_2$  face  $f_2(l, m, n, o)$  in a graph satisfying condition(ii), (c) the valid triangulation of  $f_1$  and (d) the valid triangulation of  $f_2$ .

**Lemma 19** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Also assume that  $G$  has quadrangle faces. Then no two quadrangle faces  $f_1$  and  $f_2$  have a common vertex which is a good vertex for both the faces  $f_1$  and  $f_2$ .*

**Proof:** Assume that  $u$  is a common vertex between two quadrangle faces  $f_1$  and  $f_2$ . If  $u$  is neither a good vertex of  $f_1$  nor a good vertex of  $f_2$ , then we have done. We thus assume that  $u$  is a good vertex of  $f_1$  or  $f_2$ . Without loss of generality, we assume that  $u$  is a good vertex of  $f_1$ . Then  $u$  is not a good vertex of  $f_2$ , otherwise  $u$  would not be a common vertex of  $f_1$  and  $f_2$ , a contradiction. *Q.E.D.*

**Proof for the Sufficiency of Theorem 5**

Assume that the graph  $G$  satisfies all the conditions in Theorem 5. If all the faces of  $G$  except  $F_1$  and  $F_2$  are triangulated, then  $G$  is a doughnut graph by Lemma 14. Otherwise, we triangulate each quadrangle face of  $G$ , using its valid triangulation. Let  $G'$  be the resulting graph. Lemmas 18 and 19 imply that  $d(v) \leq 5$  for each vertex  $v$  in  $G'$ . Then the graph  $G'$  satisfies the conditions in Theorem 5, since  $G$  satisfies the conditions in Theorem 5,  $G'$  is obtained from  $G$  using valid triangulations of quadrangle faces and  $d(v) \leq 5$  for each vertex  $v$  in  $G'$ . Hence  $G'$  is a doughnut graph by Lemma 14. Therefore  $G$  is a spanning subgraph of a doughnut graph. *Q.E.D.*

We now have the following lemma.

**Lemma 20** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Then  $G$  can be augmented to a doughnut graph in linear time.*

**Proof:** We first embed  $G$  such that  $F_1$  is embedded as the outer face and  $F_2$  is embedded as an inner face. We then triangulate each of the quadrangle faces of  $G$  using its valid triangulation if  $G$  has quadrangle faces. Let  $G'$  be the resulting graph. As shown in the sufficiency proof of Theorem 5,  $G'$  is a

doughnut graph. One can easily find all quadrangle faces of  $G$  and perform their valid triangulations in linear time, hence  $G'$  can be obtained in linear time.

*Q.E.D.*

In Theorem 5 we have given a necessary and sufficient condition for a 4-connected planar graph to be a spanning subgraph of a doughnut graph. As described in the proof of Lemma 20, we have provided a linear-time algorithm to augment a 4-connected planar graph  $G$  to a doughnut graph if  $G$  satisfies the conditions in Theorem 5. We have thus identified a subclass of 4-connected planar graphs that admits straight-line grid drawings with linear area as stated in the following theorem.

**Theorem 6** *Let  $G$  be a 4-connected planar graph satisfying the conditions in Theorem 5. Then  $G$  admits a straight-line grid drawing on a grid of area  $O(n)$ . Furthermore, the drawing of  $G$  can be found in linear time.*

**Proof:** Using the method described in the proof of Lemma 20, we augment  $G$  to a doughnut graph  $G'$  by adding dummy edges (if required) in linear time. By Theorem 4,  $G'$  admits a straight-line grid drawing on a grid of area  $O(n)$ . We finally obtain a drawing of  $G$  from the drawing of  $G'$  by deleting the dummy edges (if any) from the drawing of  $G'$ . By Lemma 20,  $G$  can be augmented to a doughnut graph in linear time and by Theorem 4, a straight-line grid drawing of a doughnut graph can be found in linear time. Moreover, the dummy edges can also be deleted from the drawing of a doughnut graph in linear time. Hence the drawing of  $G$  can be found in linear time. *Q.E.D.*

## 6 Conclusion

In this paper we introduced a new class of planar graphs, called doughnut graphs, which is a subclass of 5-connected planar graphs. A graph in this class has a straight-line grid drawing on a grid of linear area, and the drawing can be found in linear time. We showed that the outerplanarity of a doughnut graph is 3. Thus we identified a subclass of 3-outerplanar graphs that admits straight-line grid drawing with linear area. One can easily observe that any spanning subgraph of a doughnut graph also admits straight-line grid drawing with linear area. However, the recognition of a spanning subgraph of a doughnut graph seems to be a non-trivial problem. We established a necessary and sufficient condition for a 4-connected planar graph  $G$  to be a spanning subgraph of a doughnut graph. We also gave a linear-time algorithm to augment a 4-connected planar graph  $G$  to a doughnut graph if  $G$  satisfies the necessary and sufficient condition. By introducing the necessary and sufficient condition, in fact, we have identified a subclass of 4-connected planar graphs that admits straight-line grid drawings with linear area. Finding other nontrivial classes of planar graphs that admit straight-line grid drawings on grids of linear area is also left as an open problem.

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